PATENT COOPERATION TREATY

PCT

REC'D	0	7	AUG	2000
3 5 11 2 6				<u> </u>

0960138U

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION		on of Transmittal o	
COLB-002/00W Preliminary Examination Report (Form PCT/IPEA/416				PCT/IPEA/416)
International application No.	International filing date (day/m	onth/year) Pr	riority date (day/month/ye	ar)
.F.T/US99/01789	27 JANUARY 1999		27 JANUARY 1998	
International Patent Classification (IPC) IPC(7): H04N 7/14, G09G 3/36 and	or national classification and IP US Cl.: 348/14, 345/104	C		
Applicant COLLABORATION PROPERTIES. I	NC.			
Examining Authority and is 2. This REPORT consists of a	ary examination report has transmitted to the applicant total of sheets.	according to Ar	ticle 36.	
been amended and are the (see Rule 70.16 and Sec	ne basis for this report and/or sh tion 607 of the Administrative	eets containing re	ectifications made befor	e this Authority.
These annexes consist of a to	otal of sheets.			
3. This report contains indication	ns relating to the following it	tems:		RECEIVED DEC 1 1 2000
I X Basis of the repo	rt			DEC 1 1 222
II Priority				
III X Non-establishme	nt of report with regard to no	velty inventive	step or industrial app	hnology Center 2600
IV Lack of unity of		,, ,	*1	
<u> </u>	nt under Article 35(2) with reg	ard to novelty in	oventive step or industr	ial applicability:
	anations supporting such staten			
VI Certain documents	cited			
VII Certain defects in	the international application			
VIII Certain observation	ns on the international applicat	ion		
		<u> </u>		
Date of submission of the demand	Date	of completion of	this report	
27 AUGUST 1999	. c	77 JUNE 2000		
Name and mailing address of the IPEA	/US Auth	orized officer		
Commissioner of Patents and Trade Box PCT	l l	CURTIS KUNTZ	Ω . \cdot	4.4
Washington, D.C. 20231		1	Kulgenia	Zogan
Facsimile No. (703) 305-3230	Tele	phone No. (703	3) 305,4708	<u>7</u>

Form PCT/IPEA/409 (cover sheet) (July 1998) *



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶: H04N 7/14, G09G 3/36

(11) International Publication Number:

WO 99/38324

7/14, G09G 3/36

(43) International Publication Date:

29 July 1999 (29.07.99)

(21) International Application Number:

PCT/US99/01789

(22) International Filing Date:

27 January 1999 (27.01.99)

(30) Priority Data:

60/072,762

27 January 1998 (27.01.98)

US

(71) Applicant (for all designated States except US): COLLABO-RATION PROPERTIES, INC. [US/US]; Suite 7, 913 Tahoe Boulevard, Incline Village, NV 89451 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): LUDWIG, Lester, F. [US/US]; 1239 Southdown, Hillsborough, CA 94010 (US).

(74) Agents: OPPERMAN, Craig, P.; Cooley Godward L.L.P., 3000
El Camino Real, Five Palo Alto Square, Palo Alto, CA 94306–2155 (US) et al.

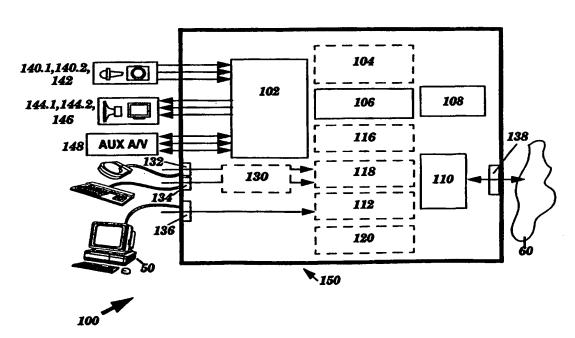
(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: MULTIFUNCTION VIDEO COMMUNICATION SERVICE DEVICE



(57) Abstract

This invention relates to a multimedia device (100) for use in multimedia collaboration apparatus and systems. Such apparatus and systems typically contain processing units (118) audio reception and transmission capabilities (140, 142), as well as video reception and transmission capabilities (146, 142). The reception and transmission capabilities allow analog audio/video signal transfer over UTP wires for audio transmit/receive. The reception, transmission, and decoding capabilities could exist in a single packaging. This or another single packaging can support a plurality of multimedia network signal formats, including analog plus digital or all digital.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AL AM	Amenia	FI	Spani Finland	LT	Lithuania	SK	Slovakia
AT	Amenia	FR	France	LU	Luxembourg	SN	Senegai Senegai
					•	SZ	_
AU	Australia	GA	Gabon	LV	Latvia		Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
ВJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/01789

	SSIFICATION OF SUBJECT MATTER				
US CL	:H04N 7/14, G09G 3/36 : 348/14, 345/104				
According to	o International Patent Classification (IPC) or to both	national classification and IPC			
	DS SEARCHED	t by alussification aumbala			
	ocumentation searched (classification system followed		16. 270/410. 201/17.		
L	178/18.09: 250/208.1; 257/59. 72; 345/3, 7, 104. 2 382/114				
Documentat NONE	ion searched other than minimum documentation to the	extent that such documents are included in	n the fields searched		
Electronic d	lata base consulted during the international search (na	me of data base and, where practicable,	search terms used)		
C. DOC	UMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where ap	ppropriate, of the relevant passages	Relevant to claim No.		
Y	US 5,410.595 A (PARK et al.) 25 API 55-68, col. 7 lines 1-68, col. 8 lines 1		1-5, 16, 19		
Y	US 5,555,310 A (MINAMI et al.) 10 col. 19 lines 38-64.	SEPTEMBER 1996, fig. 15	1-5		
x	11				
	2 lines 57-67, col. 3 lines 1-67, col. 4	lines 1-49, col. 5 lines 43-67,	 12-14		
Y	col. 6 lines 1-51.		12-14		
Y	US 5,572,643 A (JUDSON) 05 NO lines 45-62.	VEMBER 1996, fig. 1col. 6	12-14		
		<u> </u>			
X Further documents are listed in the continuation of Box C. See patent family annex.					
·A· do	ecial categories of cited documents.	"T" later document published after the inte date and not in conflict with the appl the principle or theory underlying the	lication but cited to understand		
1	be of particular relevance rlier document published on or after the international filing date	"X" document of particular relevance; the			
"L" do	*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other				
O document referring to an oral disclosure, use, exhibition or other means *O* document referring to an oral disclosure, use, exhibition or other means *O* document referring to an oral disclosure, use, exhibition or other means *O* document referring to an oral disclosure, use, exhibition or other means					
P document published prior to the international filing date but later than *&* document member of the same patent family the priority date claimed					
Date of the actual completion of the international search 20 MAY 1999 Date of mailing of the international search report 01 JUN 1999					
Authorized officer					
Commission Box PCT	oner of Patents and Trademarks	CURTIS KUNTZ LLYON	in Soya-		
1	n, D.C. 20231 No. (703) 305-3230	Telephone No. (703) 305-4708			



International application No. PCT/US99/01789

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X Y	US 5,708,659 A (ROSTOKER et al.) 13 JANUARY 1998, figs. 1, 40-43, col. 4 lines 45-67, col. 5 lines 1-25, col. 6 lines 66-67col. 7 lines 1-67, col. 8 lines 1-60, col. 24 lines 31-67col. 25 lines 1-2.	15, 17-18, 20-24 16,19
X	US 3,958,235 A (DUFFY) 18 May 1976, abstract, figure 6, column 1, lines 40-68, column 2, lines 1-15.	26, 33, 40, 43, 44, 45, 46, 47
A	US 4,794,634 A (TORIHATA ET AL.) 27 December 1988, abstract, figure 1, column 1, lines 35-55.	26
X	US 5,313,055 A (SHIRATSUKI ET AL.) 17 May 1994, abstract, figure 7-30, colum 1 and 2.	26, 33, 40, 43, 44, 45, 46, 47
A	US 5,331,149 A (SPITZER ET AL.) 19 July 1994, abstract, figures 1, 3 and 4, column 1, lines 44-68, column 2, lines 1-30.	26-49
X	US 5,340,978 A (ROSTOKER ET AL.) 23 August 1994, abstract, figures 1 and 15, column 2, lines 11-68.	26-49
X	US 5,446,564 A (MAWATARI ET AL.) 29 August 1995, abstract, figures 1-3, column 1 & 2.	26-49
X,P	US 5,812,109 A (KAIFU ET AL.) 22 September 1998, abstract, figures 1-15, column 1 & 2.	26-49

Ü

International application No.

PCT/US99/01789

I. B	asis of	the report		
1. With	regard	to the elements of the international applicati	ion:*	
\mathbf{x}	the int	ernational application as originally fi	iled	
\mathbf{x}	the de	scription:		
لکا	pages	1-42		, as originally filed
		NONE		, filed with the demand
	pages	NONE	, filed with the letter of	
	Ab1-			
X	the cla	4- 40		i-i11 61-1
	pages	***************************************	, as amended (together with any	
	pages		, as amended (together with any	
	pages	NONE , filed v	vith the letter of	, mod with the demand
X	the dra	awings:		
	pages	NONE	, filed with the letter of	
\mathbf{x}	the sec	quence listing part of the description:		
لگ				as originally filed
		NONE		
			, filed with the letter of	
	the lan	guage of a translation furnished for t guage of publication of the internation guage of the translation furnished for the	onal application (under Rule 48.3(b))).
	h regard	d to any nucleotide and/or amino acid v examination was carried out on the b		al application, the international
	contain	ned in the international application in	printed form	
		egether with the international applicat		
H		ed subsequently to this Authority in	•	
		•		
		ed subsequently to this Authority in		
	internat	tement that the subsequently furnished tional application as filed has been furn	nished.	•
	The stat	tement that the information recorded in c mished.	omputer readable form is identical to the	e writen sequence listing has
4. X	The an	nendments have resulted in the cance	ellation of:	
	X	he description, pages NONE		
		he claims, Nos. NONE		
		he drawings, sheets/fig NONE		
5. X		port has been drawn as if (some of) the ar	nendments had not been made since the	v have been considered to go
اسبيسا	beyond	d the disclosure as filed, as indicated in the	e Supplemental Box (Rule 70.2(c)).**	<u> </u>
in in	acement . is repor	sheets which have been furnished to the reco t as "oniginally filed" and are not annex	eiving Office in response to an invitation w	nder Anicle 14 are referred to iin amendments (Rules 70.16
	70.17). replacei	ment sheet containing such amendments r	nust he referred to under item. I and an	nexed to this report



International application No. PCT/US99/01789

III.	No	n-establishment of opinion with regard to novelty, inventive step and industrial applicability
l. T	he qu ndust	uestions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be trially applicable have not been and will not be examined in respect of:
E		the entire international application.
	x	claims Nos. <u>6-10, 25</u>
		because:
		the said international application, or the said claim Nos. relate to the following subject matter which does not require international preliminary examination (specify).
		\cdot
2	X	the description, claims or drawings (indicate particular elements below) or said claims Nos. 6-10, 25 are so
		unclear that no meaningful opinion could be formed (specify).
	7	the claims, or said claims Nos are so inadequately supported by the description that no meaningful
L		opinion could be formed.
Г	٦	
_		no international search report has been established for said claims Nos
2 ^	man	ningful interactional realizations are related to a second by a six day, day for the filter full and the f
see	queno	ningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid ce listing to comply with the standard provided for in Annex C of the Administrative Instructions:
		the written form has not been furnished or does not comply with the standard.
	٦ .	the computer readable form has not been furnished or does not comply with the standard.

International application No.

PCT/US99/01789

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.	statement			
	Novelty (N)	Claims Claims	(Please See supplemental sheet) (Please See supplemental sheet)	YES
	Inventive Step (IS)	Claims Claims	(Please See supplemental sheet) (Please See supplemental sheet)	YES NO
	Industrial Applicability (IA)	Claims Claims	(Please See supplemental sheet) (Please See supplemental sheet)	YES

2. citations and explanations (Rule 70.7)

Claims 1-5 lack an inventive step under PCT Article 33(3) as being obvious over Park et al. (US PAT. 5,410,310, hereinaf ter Park) in view of Minami et al. (US PAT. 5,555,310, hereinafter Minami).

Regrading claims 1, 4, Park discloses apparatus and method for noise reduction for a full duplex speaker phone or the like comprising: at least one microphone 140 and configured to perform adaptive echo canceling operations on at least one channel of audio captured at the associated microphone, plurality of microphones (140-141, fig. 5), and including synthetic aperture microphone processing capabilities (fig. 5, col. 7 lines 1-68, col. 8 lines 1-68, col. 9 lines 1-40).

Park differs from the claimed invention by not teaching stereo echo canceling.

However, Minami discloses stereo voice transmission which teaches the use of stereo echo canceling (fig. 15, col. 19 lines 38-64).

Thus, it would have been obvious to one of ordinary skill in the art at the time invention was made to modify Parks system to provide for stereo echo canceling as this would enable to enhance the system by providing stereo sound system, thus giving the user better sound quality.

Regarding claims 2, 5, Park further shows the following: the device (fig. 5) associated with a plurality of microphones (140,141) and further configured to have synthetic aperture microphone processing capabilities that include the capability to adjust the position of a spatial region corresponding to the area of maximum sensitivity of the synthetic aperture microphone function (fig. 5 col. 7 lines 66-67, col. 8 lines 1-68, col. 9 lines 1-27).

Regarding claim 3, Park shows the following: adaptive acoustic echo-canceling and synthetic microphone processing capabilities that are combined in a single packaging (see fig. 5).

He differs from the claimed invention by not showing (Continued on Supplemental Sheet.)

International application No.

PCT/US99/01789

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

I. BASIS OF REPORT:

5. (Some) amendments are considered to go beyond the disclosure as filed: NONE

V. 1. REASONED STATEMENTS:

The report as to Novelty was positive (YES) with respect to claims 1-5, 12-14, 16, 19, 40-46.

The report as to Novelty was negative (NO) with respect to claims 11, 15, 17-18, 20-24, 26-39, 47-49.

The report as to Inventive Step was positive (YES) with respect to claims NONE.

The report as to Inventive Step was negative (NO) with respect to claims 1-5, 11-24, 26-49.

The report as to Industrial Applicability was positive (YES) with respect to claims 1-5, 11-24, 26-49.

The report as to Industrial Applicability was negative (NO) with respect to claims NONE.

V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued):

stereo echo canceling.

However, Minami discloses stereo voice transmission which teaches the use of stereo echo canceling (fig. 15, col. 19 lines 38-64).

Thus, it would have been obvious to one of ordinary skill in the art at the time invention was made to modify Parks' system to provide for stereo echo canceling as this would enable to enhance the system by providing stereo sound system, thus giving the user better sound quality.

Claims 12-14 lack an inventive step under PCT Article 33(3) as being obvious over Jones et al. (US PAT. 5,587,928, hereinafter Jones) in view of Judson (US PAT. 5,572,643).

Regarding claims 12-14, Jones shows the following: a single packaging including audio and video signal reception and transmission capabilities (fig. 2-3, a processing unit (not shown) and a memory residing in which is an operating system, the operating system is capable of rendering a graphical user interface, supporting user manipulation of any one of a cursor and pointing icon (figs. 2-3, col. 5 lines 43-67, col. 6 lines 1-51).

He differs from the claimed invention by not teaching internet browsing application software.

However, Judson discloses web browser with dynamic display of information objects linking which teaches about internet browsing application software (fig. 5, col. 6 lines 45-62).

Thus, it would have been obvious to one of ordinary skill in the art at the time invention was made to modify Jones's system to provide for internet browsing application software as this would enable the user to access internet, thus providing access to an important information resource.

Claim 16 lacks an inventive step under PCT Article 33(3) as being obvious over Rostoker et al. (US PAT. 5,708,659, hereinafter Rostoker) in view of Park and Minami.

Regarding claim 16, Rostoker shows audio and video signal capture capabilities as shown in fig. 1.

He differs from the claimed invention by not showing adaptive stereo echo-canceling capabilities and synthetic aperture microphone processing capabilities.

However, Park teaches about adaptive echo canceling capabilities and synthetic aperture microphone capabilities (fig. 5 col. 8 lines 38-68, col. 9 lines 1-27), and Minami teaches about stereo echo canceling capabilities (fig. 15, col. 19 lines 38-64).

Thus, it would have been obvious to one of ordinary skill in the art at the time invention was made to modify Rostoker's system to provide for the following: adaptive stereo echo-canceling capabilities and synthetic aperture microphone processing capabilities as this arrangement would enable the user to obtain clear sound quality.

Claim 19 lacks an inventive step under PCT Article 33(3) as being obvious over (Rostoker) in view of Judson.

Regarding claim 19, Rostoker does not show an application software that includes an internet browser.

However, Judson discloses web browser with dynamic display of information objects linking which teaches about an application software that includes an internet browser (fig. 5, col. 6 lines 45-62).

Thus, it would have been obvious to one of ordinary skill in the art at the time invention was made to modify Rostoker's system to provide for an application software that includes an internet browser as this would enable the user to

International application No.

PCT/US99/01789

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 11

access internet, thus providing access to an important information resource.

Claim 11 lacks novelty under PCT Article 33(2) as being anticipated by Jones.

Regarding claim 11, Jones discloses computer teleconferencing method and apparatus comprising: a single packaging including capabilities for supporting analog and digital networks for either or both analog or digital audio and video networks (f igs. 2-3, col. 2 lines 57-67, col. 3 lines 1-67, col. 4 lines 1-49).

Claims 15, 17-18, 20-24 lack novelty under PCT Article 33(2) as being anticipated by Rostoker.

Regarding claim 15, Rostoker discloses method for hashing in a packet network switching system comprising: the device comprising: a single packaging including audio and video signal reception and transmission capabilities (fig. 1), a processing unit in 302, and multiport networking capabilities (figs. 1, 40-43, col. 4 lines 45-67, col. 5 lines 1-25, col. 8 lines 8-16, col. 24 lines 31-67, col. 25 lines 1-2).

Regarding claims 17-18, 20-24, Rostoker further shows the following: multiport networking capabilities include: data packet destination capabilities, a memory including an operating system and application software reside (col. 24 lines 31-67, col. 25 lines 1-2), capabilities for encoding and decoding audio and video signals (fig. 3), audio capture and reproduction capabilities (305, 308) (fig. 1), video capture and reproduction capabilities (303, 307) (fig. 1), a first port couples to a multimedia network configured to carry multimedia signals in multiple formats, a second port 311 for coupling to a plurality of computers, a network bus (fig. 40).

Claims 26-39 and 47-49 lack novelty under PCT Article 33(2) as being anticipated by Rostoker et al. (5,340,978).

In regards to claims 26, 32, 33, 34, 39 and 47 Rostoker et al. to summarize discloses a display device having image capture capabilities, image processing circuitry and comprising a plurality of display elements interleaved with a plurality of integrated photosensor elements in an essentially planar arrangement on a single substrate to create a conventional image signal (abstract, figures 1, figure 10, items 1001 and 1002, figure 15, items 1520 and 1510 and figures 16a-d), in regards to claim 27, each photosensor element occupies a smaller area than a display element (figure 15), in regards to claims 28 and 29, fabricated with geometries to reduce the nonluminent spacing and perceived areas between display elements or pixels (figure 1, col 2, lines 14-68), in regards to claims 30, 31, 37, 38, 47 and 48 a dedicated microoptic structure is associated with a set of photosensor elements (figure 2a), in regards to claims 35 and 36 portions of the display elements and photosensor elements are optically transparent (col 2, lines 14-68 and col 3, lines 1-10)

Claims 40-46 lack an inventive step under PCT Article 33(3) as being obvious over the prior art as applied in the immediately preceding paragraph and further in view of Rostoker et al.. Since Rostoker was shown to clearly anticipate the apparatus claims 26-39 and 47-49 examiner contends the method claims 40-46 use to practice the apparatus would have been obvious to one of ordinary skill in the art at the time invention was made.

	NEW	CITATIONS	
NONE			